

L'IMPORTANZA DELLA RICERCA IN ONCOLOGIA

04-05 Aprile 2024

# Padova

PALAZZO BO - Aula Nievo - Via VIII Febbraio, 2

CENTRO ALTINATE - Auditorium - Via Altinate, 71



Alberto Zambelli
IRCCS Istituto Clinico Humanitas – Humanitas University
Rozzano (Mi)

# Disclosure

Honoraria for Consultancy and Advisory Board from:

Roche, Novartis, Lilly, AstraZeneca, Pfizer, MSD, Daiichi Sankyo, Gilead, Seagen, Exact Sciences, Gentili.





# Outline

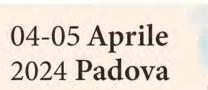
- 1. Genomics and risk estimate (MGA)
- 2. Adjuvant and CDK4/6-i (NATALEE)
- (Neo)adj and dynamic biomarker (POETIC/ADAPT)
- 4. Neoadj and IO (CM7FL, KN756)





- 1. Genomics and risk estimate (MGA)
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# EPclin for risk estimate in prospective RCT (UNIRAD)

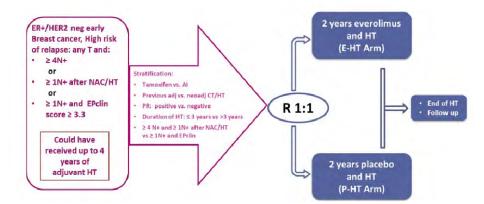
Prognostic value of EndoPredict test in patients screened for UNIRAD, a UCBG randomized, double blind, phase III international trial evaluating the addition of everolimus (EVE) to adjuvant hormone therapy (HT) in women with high risk HR+, HER2- early breast cancer (eBC)

Frederique PENAULT-LLORCA<sup>1</sup>, Florence DALENC<sup>2</sup>, Sylvie CHABAUD<sup>3</sup>, Paul COTTU<sup>4</sup>, Djelia ALLOUACHE<sup>5</sup>, David CAMERON<sup>6</sup>, Jean-Philippe JACQUIN<sup>7</sup>, Julien GRENIER<sup>6</sup>, Laurence VENAT BOUVET<sup>3</sup>, Apurna JEGANNATHEN<sup>10</sup>, Mario CAMPONE<sup>11</sup>, Francesco DEL PIANO<sup>12</sup>, Mario CEBELD<sup>13</sup>, Annie-Claire HARDY-BESSARD<sup>14</sup>, Sylvie GIACCHETTI<sup>15</sup>, Philippe ARTHELEMY<sup>16</sup>, Laurence VENAT BOUVET<sup>3</sup>, Laurence VENAT BOUVET<sup>3</sup>, Audrey MAILLIEZ<sup>16</sup>, Mario CAMPONE<sup>11</sup>, Mario CAMPONE<sup>12</sup>, And CAMPONE<sup>12</sup>, Laerence VENAT BOUNGET<sup>3</sup>, Laerence VENAT BOUNGET<sup>3</sup>, Mario CAMPONE<sup>12</sup>, Laerence VENAT BOUNGET<sup>3</sup>, L

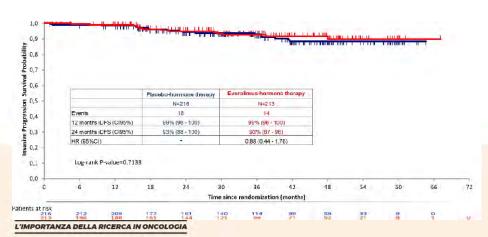


# PD9-08

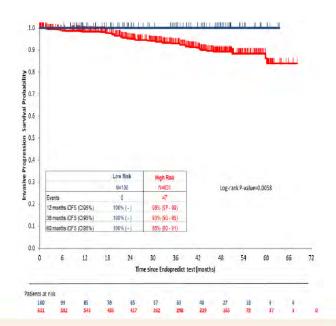
1. Centre Kam Perrin, Cleirnont-Farrand, France, 2. Institut Claudius, Régaud, Toulouse, France, 3. Centre François Backese, Caer, François, Seckese, Caer, François, Secke



### No predictive value of EPclin for EVE efficacy in HR pts



# Prognostic value of EPclin for iDFS in the whole population



### Clinical implication

- Indipendent prognostic factors
- EPclin LoE 1A for prognosis
- FVF not effective in eBC.

Penault-Llorca F et al SABCS 2021

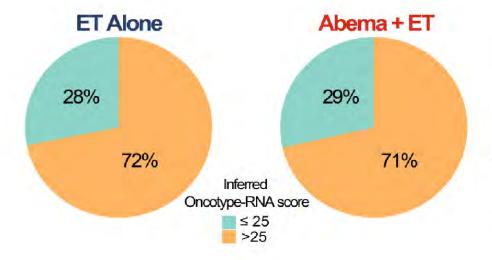


# The predictive role of ODX on CDK4/6-i

The impact of inferred ODX in MonarchE

Higher proportion of high RS samples

No significant interaction between low (RS<25) and high (RS>25) Oncotype scores and benefit to abemacidib



	Abema	ciclib + ET	ET	Alone		Abema+ET	ET alone
	Events/n (%)	4yr IDFS Rate (95% CI)	Events/n (%)	4yr IDFS Rate (95% CI)	HR (95% CI)		
ITT	407/2808 (14%)	86.0 (84.7-87.3)	585/2829 (21%	) 80.0 (78.5-81.6)	0.68 (0.60, 0.77	) -	
Biomarker Subset	138/605 (23%)	77.4 (74.1-80.9)	182/585 (31%)	69.8 (66.1-73.7)	0.70 (0.56, 0.88	) —	
Inferred Oncotype-RNA score <=25 Inferred	18/173 (10%)	90.2 (85.8-94.9)	28/165 (17%)	84.2 (78.7-90.1)	0.59 (0.33, 1.10	) -	120
Oncotype-RNA score>25	120/432 (28%)	72.3 (68.1–76.8)	154/420 (37%)	64.1 (59.6-69)	0.73 (0.57, 0.92	0.5	1 1.5

Interaction *p-value* (inferred high and low Oncotype scores) = 0.532

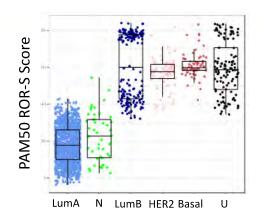
N. Turner, SABCS 2023

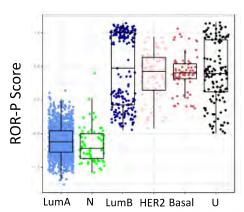




# The predictive role of PROSIGNA on CDK4/6-i

The impact of <u>PROSIGNA</u> in PALLAS





## ROR did not predict benefit to CDK4/6 inh

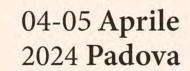
			Palbo + ET	ET only	Cox Model	
Subgroup	N	Events	5-yr IDFS (95% CI)	5-yr IDFS (95% CI)	Hazard Ratio (95% CI)	Interaction P-Value
ROR subtype only	1748	230				0.051
low	865	92	90.3 (86.9 - 92.9)	86.2 (82.0 - 89.4)	0.68 (0.45 - 1.04)	- 1
med	583	89	82.3 (76.8 - 86.5)	84.2 (79.1 - 88.2)	1.04 (0.69 - 1.58)	4
high	300	49	89.2 (82.3 - 93.5)	76.1 (68.2 - 82.3)	0.44 (0.24 - 0.81)	4
ROR subtype proliferation	1748	230				0.201
low	688	72	88.8 (84.8 - 91.9)	88.5 (84.1 - 91.7)	0.92 (0.58 - 1.46)	
med	774	113	85.5 (81.2 - 88.9)	82.0 (77.3 - 85.8)	0.77 (0.53 - 1.12)	
high	286	45	89.3 (82.2 - 93.7)	77.5 (69.6 - 83.6)	0.46 (0.25 - 0.87)	-4

High ROR-S (ROR-P) tend to benefit more

→ need further investigation

D. Stover, SABCS 2023



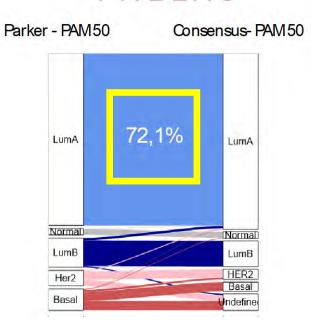


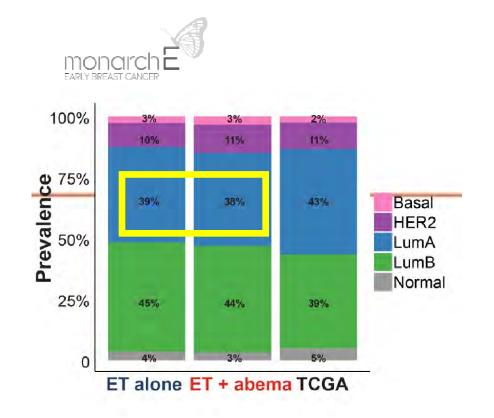


# The predictive role of intrinsic signature on CDK4/6-i

PALLAS enriched LumA tumors vs. MonarchE

## PALLAS





### In PENELOPE B: 73% LumA, 7% LumB

LumA: 3-year iDFS 83.9% vs 79.5%, HR = 0.93 (0.68-1.28), no signficant interaction LumB: 3-year iDFS 71.9% vs 44.8%, HR = 0.50 (0.24-1.05), no signficant interaction (limited sample size)

Denkert ASCO 2021





# The predictive role of intrinsic signature on CDK4/6-i

The impact of molecular subtypes in PALLAS and MonarchE

## PALLAS



			Palbo + ET	ET only	Cox Model								
						Interaction		Abem	aciclib + ET	ET /	Alone	Aber	na+ET ET Alone
Subgroup	N	Events	5yr IDFS(95% CI)	5yr IDFS (95% CI)	Hazard Ratio (95% CI)	P-Value		Events/n (%)	4-yr IDFS Rate (95% CI	Events/n (%) 4	-yr IDFS Rate (95% (	CI) HR (95% CI)	
LumA	1748	230				0.145	ITT	407/2808 (14%)	86.0 (84.7-87.3)	585/2829 (21%)	80.0 (78.5-81.6)	0.68 (0.60, 0.77)	-
Basal	67	15	72.8 (52.9 - 85.4)	78.6 (60.3 - 89.2)	1.33 (0.48 - 3.68)		D:	100/005 (000/)		100/505 (040/)	00 0 (00 4 70 7)	0.70 (0.50 0.00)	
HER2	73	12	93.3 (75.2 - 98.3)	72.7 (54.1 - 84.8)	0.25 (0.07 - 0.93)		Biomarker Subset	138/605 (23%)	77.4 (74.1–80.9)	182/585 (31%)	69.8 (66.1-73.7)	0.70 (0.56, 0.88)	-
LumA	1260	149	88.0 (85.0 - 90.5)	86.2 (82.9 - 88.9)	0.83 (0.60 - 1.15)		LumA	28/230 (12%)	87.5 (83.2-92)	45/228 (20%)	81.4 (76.3-86.8)	0.59 (0.37, 0.95)	-
LumB	184	29	82.7 (72.5 - 89.4)	81.8 (71.7 - 88.7)	0.89 (0.43 - 1.85)		LumB	65/265 (25%)	76.3 (71.2-81.7)	88/262 (34%)	66.6 (61.1-72.7)	0.70 (0.51, 0.97)	_
Normal	46	9	87.5 (66.0 - 95.8)	64.3 (36.4 - 82.5)	0.40 (0.10 - 1.62)			00/200 (20/0)	( 1.2 0 1.1 )	00/202 (01/0)	00.0 (0 12)	0.10 (0.01, 0.01)	
Undefined	118	16	94.1 (82.7 - 98.0)	77.1 (63.7 - 86.0)	0.25 (0.07 - 0.88)	-4	HER2	32/69 (46%)	52.6 (41.8-66.2)	34/59 (58%)	42.5 (31.4-57.5)	0.74 (0.46, 1.2)	-
							Basal	9/21 (43%)	57.1 (39.5-82.8)	8/15 (53%)	46.7 (27.2-80.2)	0.75 (0.29, 1.9)	-
					0.44						0.60	0.0	1 0.5 1 1.5 2

Interaction *p-value* in all subtypes: 0,14

Interaction *p-value* in all subtypes: 0,62

### MGA prognostic not predictive

(study-population enriched in HR)

C. Sotiriou, SABCS 2023





# The relevance of MGA on CDK4/6-i use (IR/HR)

## The impact of MGA in NATALEE trial

- Adult patients with HR+/HER2- EBC
   Prior ET allowed up to 12 mo
   Anatomical stage IIA<sup>a</sup>
   N0 with:

   Grade 2 and evidence of high risk
  - Ki-67 >20%
  - Oncotype DX Breast Recurrence Score ≥26 *or* <2%
  - High risk via genomic risk profiling
  - Grade 3
  - N1
- Anatomical stage IIB<sup>a</sup>
  - N0 or N1
- Anatomical stage III
  - N0, N1, N2, or N3

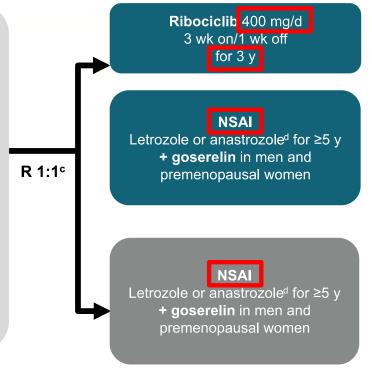
N=5101<sup>b</sup>

Randomization stratification Anatomical stage: || vs |||

Menopausal status: men and premenopausal women vs postmenopausal women

Receipt of prior (neo)adjuvant chemotherapy: yes vs no

Geographic location: North America/Western Europe/Oceania vs rest of world



### **Primary End Point**

iDFS using STEEP criteria

### **Secondary End Points**

- Recurrence-free survival
- Distant disease–free survival
- os
- PROs
- Safety and tolerability
- PK

### **Exploratory End Points**

- Locoregional recurrence free
  - survival
- Gene expression and alterations in tumor ctDNA/ctRNA samples

ct, circulating tumor; EBC, early breast cancer; ET, endocrine therapy; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease—free survival; N, node; NSAI, nonsteroidal aromatase inhibitor; OS, overall survival; PK, pharmacokinetics; PRO, patient-reported outcome; R, randomized; STEEP, Standardized Definitions for Efficacy End Points in Adjuvant Breast Cancer Trials.

- <sup>a</sup> Enrollment of patients with stage II disease was capped at 40%. <sup>b</sup> 5101 patients were randomized from Jan 10, 2019 to April 20, 2021. <sup>c</sup> Open-label design. <sup>d</sup> Per investigator choice.
- 1. Slamon D, et al. ASCO 2023. Oral LBA500. 2. Slamon DJ, et al. J Clin Oncol. 2019;37(15 suppl). Abstract TPS597. 3. Slamon DJ, et al. Ther Adv

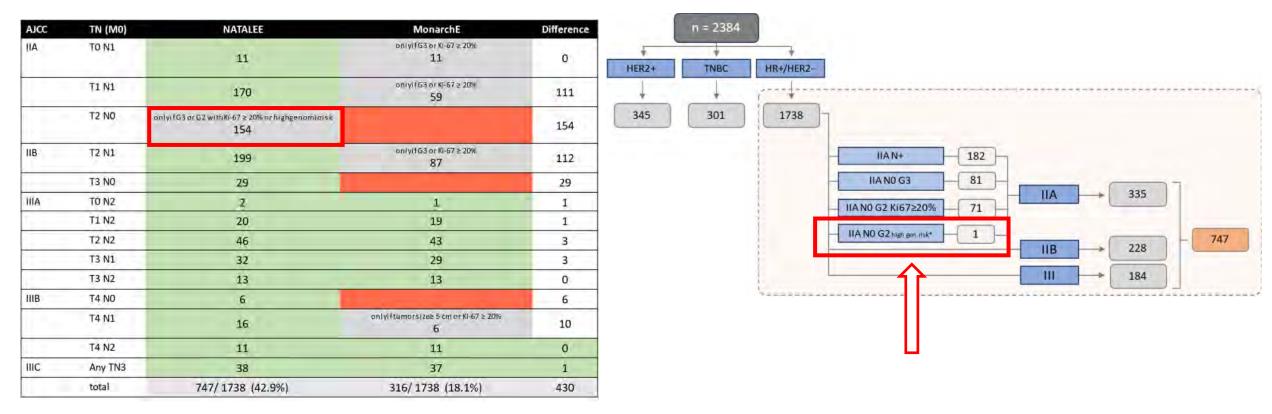
D. Slamon ASCO 2019





# The relevance of MGA on CDK4/6-I use (IR/HR)

The potential impact of MGA in RW eBC



In RW the contribution of genomic test to CDK4/6-i elegibility appears limited

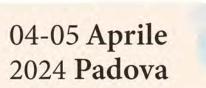
H Schaffler Int. J. Mol. Sci 2023





- 2. Adjuvant and iCDK4/6-i (NATALEE)





# NATALEE study design

Multicenter, randomized, open-label phase III trial

Patients with HR+, HER2stage II (either N0 with grade
2/3 and/or Ki67 ≥20% or N1)
or III EBC;
pre/postmenopausal women or
men, with or without prior
(neo)adjuvant chemotherapy,
no distant metastases
(planned N = 4000)

The enrollment of patients with stage II was capped at 40%

Ribociclib 400 mg/day (3 wk on/1 wk off) for 3 yr + ET (letrozole or anastrazole)\* for 60 mo + Goserelin†

ET for 60 mo

\*Treatment may begin up to 1 year before study treatment start date.  $^\dagger Premenopausal$  women and men will also receive goserelin 3.6 mg/28 days.

Primary endpoint: invasive disease-free survival (STEEP criteria)

**Key secondary endpoints:** recurrence-free survival, distant DFS, overall survival, patient-reported outcomes, and pharmacokinetics; safety and tolerability will also be evaluated

### Randomization stratification

- Anatomical stage:
- Menopausal status: men and pre vs postmenopausal women
- Receipt of prior (neo)adj CT: yes vs no
- Geographic location: North America/Western EU/Oceania vs rest of world

D. Slamon ASCO 2019





# Patients disposition

### **Second Interim Efficacy Analysis**

### Final iDFS Analysis

Data cutoff: January 11, 2023

Data cutoff: July 21, 2023

iDFS events: n=426

iDFS events: n=509

### Ribociclib + NSAI, n=2549

- NSAI ongoing: 1984 (77.8%)
- RIB ongoing: 1147 (45.0%)
- Stopped RIB: 1377 (54.0%)
  - Completed 3 years: 515 (20.2%)
  - Early discontinuation: 862 (33.8%)
    - Discontinued due to AEs: 477 (18.7%)

### Ribociclib + NSAI, n=2549

- NSAI ongoing: 1914 (75.1%)
  - RIB ongoing: 528 (20.7%)
- Stopped RIB: 1996 (78.3%)
  - Completed 3 years: 1091 (42.8%)
  - Early discontinuation: 905 (35.5%)
    - Discontinued due to AEs: 498 (19.5%)

### NSAI alone, n=2552

- NSAI ongoing: 1826 (71.6%)
- Discontinued NSAI: 617 (24.2%)

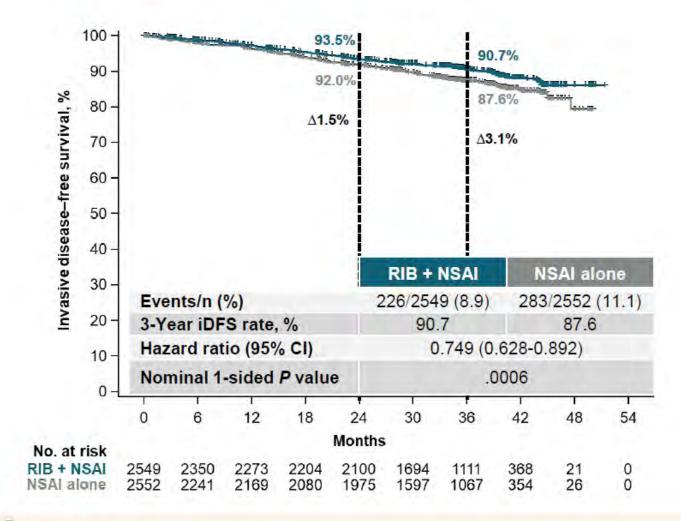
### NSAI alone, n=2552

- NSAI ongoing: 1748 (68.5%)
- Discontinued NSAI: 693 (27.2%)



G Hortobagiy, SABCS 2023

# IDFS @33m of mFU



## **IDFS**

- The absolute iDFS benefit with ribociclib plus NSAI was 3.1% at 3 years
- The risk of invasive disease was reduced by 25.1% with ribociclib plus NSAI vs NSAI alone

## **DDFS**

- The absolute DDFS<sup>a</sup> benefit with ribociclib plus NSAI was 2.7% at 3 years
- The risk of distant disease was reduced by 25.1% with ribociclib plus NSAI vs NSAI alone at the final analysis

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# IDFS across pre-specified subgroups

NSAl alone RIB + NSAI Events/n 3-y iDFS rate, % Events/n 3-y iDFS rate, % 95% CI Subgroup Hazard ratio Menopausal status 91.8 114/1132 88.2 Men and premenopausal women 83/1125 -0.688 0.519-0.913 169/1420 Postmenopausal women 143/1424 89.7 87.1 0.645-1.007 0.806 AJCC stage 94.2 Stage II 55/1101 80/1034 92.6 0.496-0.986 0.700 -203/1512 83.8 Stage III 170/1528 88.1 0.755 0.616-0.926 Prior CT 203/2249 90.5 255/2245 87.1 0.620-0.897 Yes 0.746 No 23/300 92.0 28/307 91.2 0.852 0.491-1.479 Region 87.5 North America/Western Europe/Oceania 131/1563 91.1 166/1565 0.595-0.941 0.748 Rest of world 95/986 90.1 117/987 87.6 0.591-1.015 0.774 Histological grade at time of surgery 95.1 Grade 1 9/213 13/217 93.1 0.708 0.303-1.657 Grade 2 118/1460 91.5 155/1432 88.0 0.696 0.548-0.885 Grade 3 80/684 87.5 89/702 85.9 0.658-1.204 0.890 Ki-67 status<sup>a</sup> Ki-67 ≤20% 93/1199 91.8 117/1236 89.8 0.794 0.605-1.042 Ki-67 > 20% 89.0 98/920 125/937 84.9 0.743 0.570-0.988 Nodal status b,c NO 20/285 93.2 31/328 90.6 0.723 0.412-1.288 N1-N3 206/2261 90.3 251/2219 87.1 0.759 0.631-0.912 Prior ET Yes 150/1826 91.4 186/1805 88.4 0.755 0.609-0.936 76/723 88.9 97/747 85.8 No 0.771 0.571-1.040 0.0 0.5 1.0 1.5 2.0 2.5 3.0

AJCC, American Joint Committee on Cancer; CT, chemotherapy.

42%

Favors RIB+ NSAI Favors NSAI alone

2024 Padova



NOT 1

Tourna

a From archival tumor tissue. b Nodal status classification according to AJCC staging.

<sup>&</sup>lt;sup>c</sup> Nodal status is from the worst stage derived per surgical specimen or at diagnosis.

# Stage II: implication for surgery

### No not allowed in monarchE AJCC Anatomical TN (MO) NATALEE<sup>2,3</sup> monarchE4 Staging 1 In monarchE, relatively Stage IIA TON1 Only if grade 3 or Ki-67 ≥20% few patients with stage T1N1 Only if grade 3 or Ki-67 ≥20% Il were allowed: Only if G3 or G2 with Ki-67 ≥20% · N1 allowed only if **T2N0** grade 3 or or high genomic risk<sup>a</sup> Ki-67 ≥20% Stage IIB **T2N1** Only if grade 3 or Ki-67 ≥20% T3N0 Stage IIIA TON2 T1N2 In monarchE, within T2N2 stage III. T3N1 N0 not allowed (in **T3N2 T4N0** Stage IIIB N1 (whether in IIIA) or IIIB) allowed only Only if tumor size ≥5 cm or T4N1 if tumor size ≥5 cm. grade 3 or Ki-67 ≥20% grade 3, or Ki-67 **T4N2** ≥20% Any TN3 Stage IIIC

THE NEW ENGLAND TOVANAL IS MUDICINE

### RESEARCH SUMMARY

### Omitting Axillary Dissection in Breast Cancer with Sentinel-Node Metastases

de Boniface J et al. DOI: 10.1056/NEJMea2313487

#### CLINICAL PROBLEM

Among patients with clinically node-negative breast cancer and one or two sentinel-node metastases who had undergone breast-conserving surgery and whole-breast radiotherapy, trials have shown that omission of axillarylymph-node dissection does not affect overall survival. However, trial limitations such as limited statistical power, uncertain nodal radiotherapy targer volumes, and a scarcity of data on important patient subgroups have slowed adoption of this practice.

### CLINICAL TRIAL

Design: An ongoing, phase 3, international, randomized, noninferiority trial compared the omission of completion axillary-lymph-node dissection with its use in patients with clinically node-negative primary breast cancer with a tumor stage of Tt, Tt, or T3 and one or two senti-nel-node macrometastases.

Intervention: 2540 patients were assigned to sentinel-node biopsy only or completion axillary-lymph-node dissection and followed for a median of 46.8 months. Patients underwent either breast-conserving surgery plus whole-breast radiotherapy or mastectomy. Most patients received nodal radiation therapy. The primary end point was overall survival; this per-protocol analysis focused on recurrencefree survival, a prespecified secondary end point.

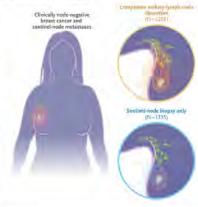
### BESULTS

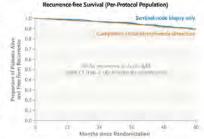
The estimated 5-year recurrence-free survival was similar in the two groups. The upper boundary of the confidence interval for the hazard ratio for recurrence or death was significantly below the prespecified noninferiority margin of 1.44.

### LIMITATIONS AND REMAINING QUESTIONS

- The use of radiation therapy followed local guidelines; the results should not be compared with those of trials that followed other radiation-therapy guidelines.
- Too few male patients were enrolled to provide information about this subgroup.
- Most patients had breast cancer of the luminal subtype, which has a high rate of late recurrence; the follow-up time in this trial was relatively short.

Links: Full Article | NEJM Quick Take | Editorial





### CONCLUSIONS

Omission of completion axillary lymph node dissection was mainferior to use of dissection in terms of recurrence free survival among patients with clinically gode-negative bress cancer and sentind-node macromerasuses.

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# IDFS across pre-specified subgroups

		RIB	+ NSAI	NSA	Al alone			
	Subgroup	Events/n	3-y iDFS rate, %	Events/n	3-y iDFS rate, %		Hazard ratio	95% CI
	Menopausal status  Men and premenopausal women  Postmenopausal women	83/1125 143/1424	91.8 89.7	114/1132 169/1420	88.2 87.1	H-01-1	0.688 0.806	0.519-0.913 0.645-1.007
	AJCC stage Stage II Stage III	55/1101 170/1528	94.2 88.1	80/1034 203/1512	92.6 83.8	141	0.700 0.755	0.496-0.986 0.616-0.926
	Prior CT Yes No	203/2249 23/300	90.5 92.0	255/2245 28/307	87.1 91.2	101	0.746 0.852	0.620-0.897 0.491-1.479
	Region  North America/Western Europe/Oceania  Rest of world	131/1563 95/986	91.1 90.1	166/1565 117/987	87.5 87.6	H <del>-1</del>	0.748	0.595-0.941 0.591-1.015
	Histological grade at time of surgery Grade 1 Grade 2 Grade 3	9/213 118/1460 80/684	95.1 91.5 87.5	13/217 155/1432 89/702	93.1 88.0 85.9	141	0.774 0.708 0.696 0.890	0.303-1.657 0.548-0.885 0.658-1.204
	<b>Ki-67 status³</b> Ki-67 ≤20% Ki-67 >20%	93/1199 98/920	91.8 89.0	117/1236 125/937	89.8 84.9	H-1	0.794 0.743	0.605-1.042 0.570-0.988
.2%	Nodal status <sup>b,e</sup> N0 N1-N3	20/285 206/2261	93.2 90.3	31/328 251/2219	90.6 87.1	+1	0.723 0.759	0.412-1.288 0.631-0.912
	Prior ET Yes No	150/1826 76/723	91.4 88.9	186/1805 97/747	88.4 85.8	1	0.755 0.771	0.609-0.936 0.571-1.040
erican Joi	nt Committee on Cancer; CT, chemotherapy.				0.0	0 0.5 1.0 1.5 2.0 Hazard ratio	2.5 3.0	

Favors RIB + NSAI Favors NSAI alone



<sup>c</sup> Nodal status is from the worst stage derived per surgical specimen or at diagnosis.

a From archival tumor tissue. b Nodal status classification according to AJCC staging.

# The NO/+ migration from baseline to randomization

Table S1. Nodal Status at Diagnosis, Surgery,	, and Stage	•
---	-------------	---

Nodal Status,	At Diagnos	is (clinical)	UCHANOL DE	urgery logical)	11 11 11 11 11 11 11 11 11 11 11 11 11	CC Staging t of 2)	
n (%) <sup>a</sup>	RIB + NSAI (n=2549)	NSAI alone (n=2552)	RIB + NSAI (n=2549)	NSAI alone (n=2552)	RIB + NSAI (n=2549)	NSAI alone (n=2552)	
NXb	272 (11)	264 (10)	2 (0.1)	5 (0.2)	3 (0.1)	5 (0.2)	
N0c	694 (27)	737 (29)	378 (15)	418 (16)	285 (11)	328 (13)	N0 28->129
N1 <sup>d</sup>	1050 (41)	1049 (41)	1062 (42)	1039 (41)	1088 (43)	1039 (41)	
N2 <sup>8</sup>	332 (13)	292 (11)	733 (29)	690 (27)	752 (30)	711 (28)	N2 12->299
N3f	151 (6)	175 (7)	372 (15)	399 (16)	421 (17)	469 (18)	

- @ baseline the clinical staging was use to describe patients characteristics
- @ randomization the worse staging (c/p) was use to define the extent of the disease

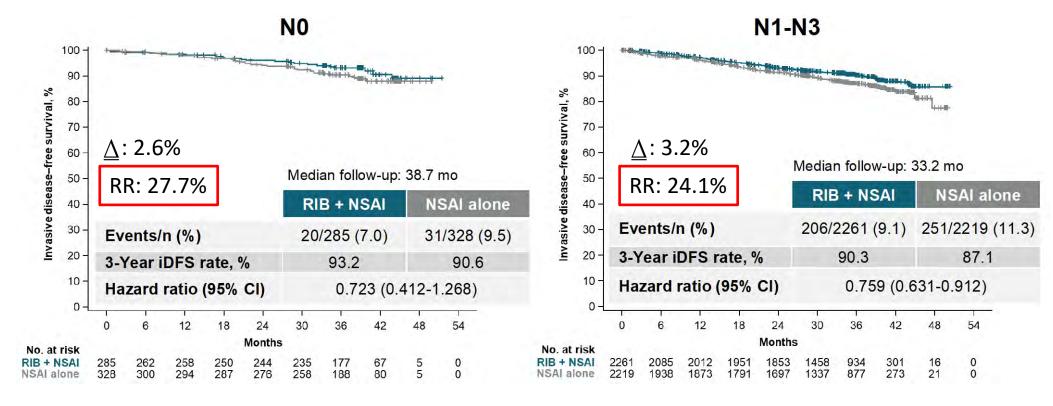
D Slamon NEJM 2024







# NO: implication for adj treatment



The NO cases derive similar benefit as compare to N1-3 (with imprecision)





# IDFS across pre-specified subgroups

RIB + NSAI NSAl alone 3-y iDFS rate, % 3-y iDFS rate, % 95% CI Subgroup Events/n Events/n Hazard ratio Menopausal status Men and premenopausal women 83/1125 91.8 114/1132 88.2 0.688 0.519-0.913 Postmenopausal women 143/1424 89.7 169/1420 87.1 0.806 0.645-1.007 AJCC stage 94.2 92.6 Stage II 55/1101 80/1034 0.496-0.986 0.700 Stage III 170/1528 88.1 203/1512 83 8 0.755 0.616-0.926 **Prior CT** Yes 203/2249 90.5 255/2245 87.1 0.746 0.620-0.897 No 23/300 92.0 28/307 91.2 0.852 0.491-1.479 Region 91.1 87.5 North America/Western Europe/Oceania 131/1563 166/1565 0.748 0.595-0.941 Rest of world 95/986 90.1 117/987 87.6 0.774 0.591-1.015 Histological grade at time of surgery 95.1 9/213 13/217 93.1 Grade 1 0.708 0.303-1.657 Grade 2 118/1460 91.5 155/1432 88.0 0.548-0.885 0.696 80/684 87.5 89/702 85.9 Grade 3 0.658-1.204 0.890 Ki-67 status<sup>a</sup> Ki-67 ≤20% 91.8 117/1236 89.8 0.794 93/1199 0.605-1.042 Ki-67 > 20% 98/920 89.0 84.9 125/937 0.743 0.570-0.988 Nodal statusb,c NO 20/285 93.2 31/328 90.6 0.723 0.412-1.288 N1-N3 90.3 251/2219 87.1 206/2261 0.759 0.631-0.912 **Prior ET** 150/1826 91.4 186/1805 88.4 0.755 0.609-0.936 Yes No 76/723 88.9 97/747 85.8 0.571-1.040 0.771 0.0 0.5 1.0 1.5 2.0 2.5 3.0



AJCC, American Joint Committee on Cancer; CT, chemotherapy.

Favors RIB+ NSAI Favors NSAI alone

2024 Padova

12%

<sup>&</sup>lt;sup>a</sup> From archival tumor tissue. <sup>b</sup> Nodal status classification according to AJCC staging.

c Nodal status is from the worst stage derived per surgical specimen or at diagnosis.

# Clinical relevance NATALEE in RWE

AJCC	TN (MO)	NATALEE	MonarchE	Difference
[IA	TO N1	11	only(fG3 or KI-67 ≥ 20% 11	0
	T1 N1	170	onlyifG3 or Ki-67 ≥ 20% 59	111
	T2 N0	anlyifG3 or G2 withKi-67 ≥ 20% or highgenomiæisk 154		154
IIB	T2 N1	199	anlyifG3 or KI-67 ≥ 20% 87	112
	T3 N0	29		29
IIIA	TO N2	2	1	1
	T1 N2	20	19	1
	T2 N2	46	43	3
	T3 N1	32	29	3
	T3 N2	13	13	0
IIIB	T4 N0	6		6
	T4 N1	16	onlylitumors(2e≥ 5 cm or KI-67 ≥ 20% 6	10
	T4 N2	11	11	0
IIIC	Any TN3	38	37	1
	total	747/ 1738 (42.9%)	316/ 1738 (18.1%)	430

## **Notable difference NATALEE vs RWD**

	NATALEE	RWD
Age	52	<mark>59.1</mark>
Stage  IIA  IIB  III	18.8 20.9 59.9	<mark>44.8</mark> 30.5 24.6
Nodes neg (N0)	11.2	<mark>27.6</mark>
Chemotherapy	88.2	<mark>49.4</mark>
ECOG 0	82.6	NA
Premenopausal	44.2	32

In the RW context **43%** of pts may enter the NATALEE vs **18%** the MonarchE
In RW cohort pts were: **older,** received **less chemotherapy** and presented with **less advanced tumor stages** *vs* **RCT** 

H Schaffler Int. J. Mol. Sci 2023





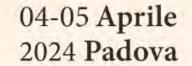
# No standard definition of High-Risk Luminal eBC

## Definition of high risk patients with HR+/HER2- EBC for escalating adjuvant therapy

MONARCHE	NATALEE	OLYMPIA	WSG-ADAPT
-High risk based on <u>clinical-pathological</u> features:  • ≥4 positive nodes  • 1-3 positive nodes + 1 of the following:  Tumor size ≥5 cm  Grade 3	-stage II (either N0 with grade 2/3 and/or Ki67 ≥20% or N1) -or stage III	-After NACT: No pCR and CPS + EG ≥3 -After ACT: ≥4 positive nodes	cT2-4 OR clinically N+ OR G3 OR Ki67>15%
-High risk based on <u>highly proliferative</u> <u>disease:</u> 1-3 positive nodes + Ki-67 ≥20%			

Tutt et al, NEJM. 2021; Slamon et al, ASCO 2019; Johnston et al, JCO. 2020; Gluz et al, ASCO 2022







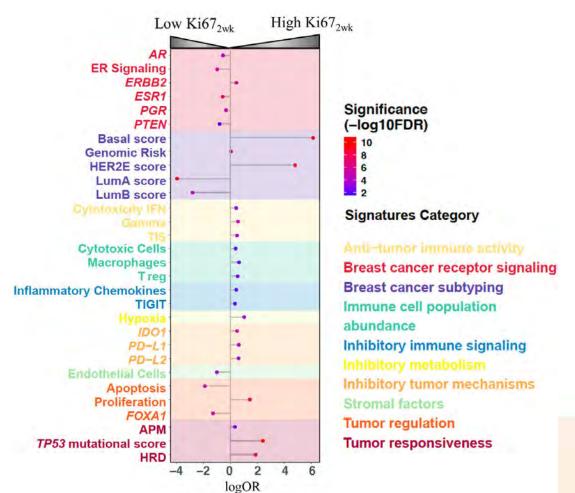
# Can we predict the poor responders in the first biopsy?





# Can we predict the poor responders in the first biopsy?

Hypothesis: intrinsic subtyps and genomic signatures predict response PR reduction <50%, IR 50-75%, GR <75%, based on Ki67@2wks (POETIC trial)



Ki67 response categories

			TREATED	•	
Arm	All 226 (100.0%)	Basal 3 (1.3%)	HER2-E 95 (42.1%)	LumA 45 (19.9%)	LumB 83 (36.7%)
GR	70 (31.0%)	0 (0.0%)	15 (15·8%)	18 (40.0%)	37 (44.6%)
IR	51 (22.5%)	0 (0.0%)	17 (16·5%)	11 (24-4%)	23 (27·7%)
PR	105 (46·5%)	3 (100%)	63 (66.3%)	16 (35·5%)	23 (27·8%)
		Chi -squared	d 27·69, <i>P</i> <0·00001		

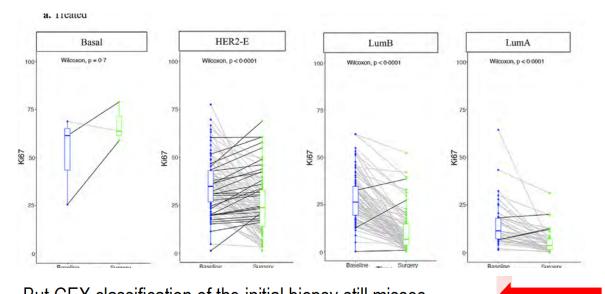
HER2 enriched subtype poor responders
Basing decisions on baseline biopsy- missing >50% of the
PR in the Lum A and Lum B subtypes

Adapted from Bergamino MA, et al. eBioMedicine 2022



# Can we predict the poor responders in the first biopsy?

Gene expression profiles at baseline were assessed in association with the response to Al Luminal A tumors have lower Ki67 at baseline



But GEX classification of the initial biopsy still misses

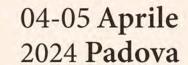
49% of Lum tumours that have persistent high Ki67 after 2 weeks of Al

### Ki67 response categories

			TREATED		
Arm	All 226 (100.0%)	Basal 3 (1.3%)	HER2-E 95 (42.1%)	LumA 45 (19.9%)	LumB 83 (36.7%)
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		Chi -squared	27·69, <i>P</i> <0·0000	l	
					Ki67 <sub>2wks</sub>
HIGH	118 (52.0%)	3 (100-0%)	80 (84-2%)	7 (15·6%)	28 (33·7%)
LOW	109 (48.0%)	0 (0.0%)	15 (15·8%)	38 (84-4%)	55 (66·3%)
		Chi-squared 6	57·98, <i>P</i> <0·00001		

Adapted from Bergamino MA, et al. eBioMedicine 2022

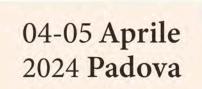






- 1. Genomics and risk estimate (MGA)
- 2. Adjuvant and iCDK4/6-i (NATALEEI)
- 3. (Neo)adj and dynamic biomarker (POETIC/ADAPT)
- 4. Neoadj and IO (CM7FL, KN756)



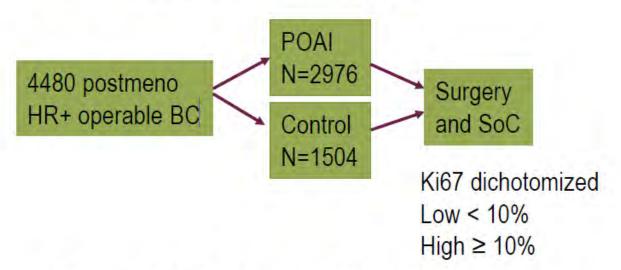




# Dynamic Tx-response prediction POETIC trial

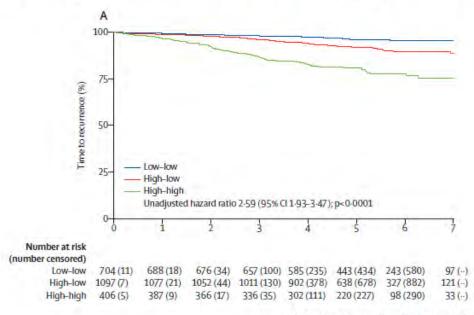
Postmenopausal W ER+ eBC were randomly assigned (2:1) to POAI (letrozole or anastrozole) for 14 days before/following surgery or no POAI (control).

Perioperative Aromatase Inhibitor-Al 14 days before and after surgery



HR relapse high-high vs high-low: 2.59 (1.93-3.47)

Primary endpoint TTR (no differences)
Secondary- explore association of changes in ki67 and TTR



Adapted from Smith I, et al. Lancet Oncol 2020





# Abema: POETIC-A trial





Part 1 uses samples from peoples' BC surgery to assess their cancer's sensitivity to ET Part 2 is the treatment part of the trial. Pts found to not be very sensitive to ET will be randomized to ET vs ET+A

International, randomized, open-label phase III trial

HR+/HER2- EBC; postmenopausal; T ≥ 1.5 cm

Part 1 10 days-6 mos of letrozole or anastrazole

N = 8000

Ki67 high surgery ≥ 8%

N=2500 Part 2

Abemaciclib 150 mg BID up to 2 yr + ET for 5yr

ET for 5 yr

Primary endpoint: iDFS

P.I. Stephen Johnston



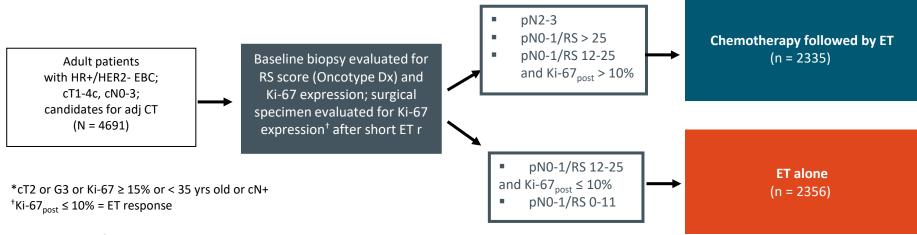


# Dynamic Tx-response prediction ADAPT Trial HR+/HER2-

Adj ET ± CT in Intermediate/High-Risk, HR+/HER2- eBC

### 2-part, prospective phase III trial

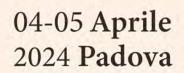
Part 1: current analysis evaluated prognostic impact of RS < 26 and Ki-67 decrease after short-course of preoperative ET in the ET alone arm and is not a randomized comparison



**Primary endpoint: 5-yr iDFS** Part 1: noninferiority for pN0-1/RS 12-25/Ki-67<sub>post</sub> ≤ 10% vs pN0-1/RS 0-11. Key secondary endpoints: dDFS, OS, translational research

Harbeck, SABCS 2020, Abstr GS4-04

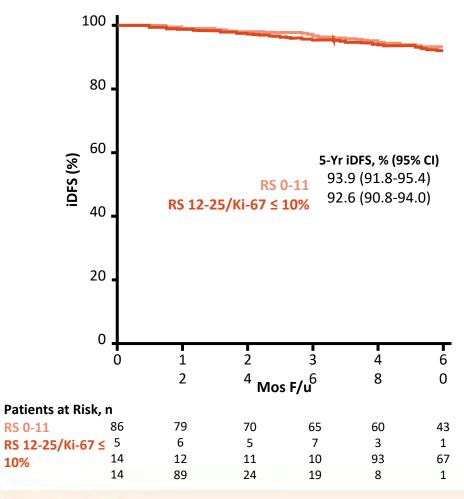






# ADAPT Trial HR+/HER2-: 5-Yr iDFS

## **Primary Endpoint**



### Primary endpoint met

- 5-yr iDFS difference: -1.3%
   (95% CI: -3.3% to 0.6%)
- 95% lower confidence limit of -3.3% met prespecified criterion for **non-inferiority** of pN0-1/RS 12-25/Ki-67<sub>post</sub>  $\leq$  10% **vs** pN0-1/RS 0-11 (P = .05)
- 5-yr OS rate
  - 97.3% for pN0-1/RS 12-25/Ki-67
     ≤ 10% vs 98.0% for pN0-1/RS 0-11(P = .160)

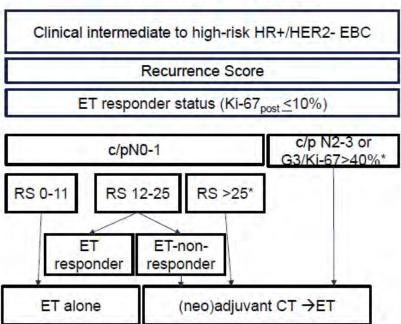
Harbeck. SABCS 2020. Abstr GS4-04



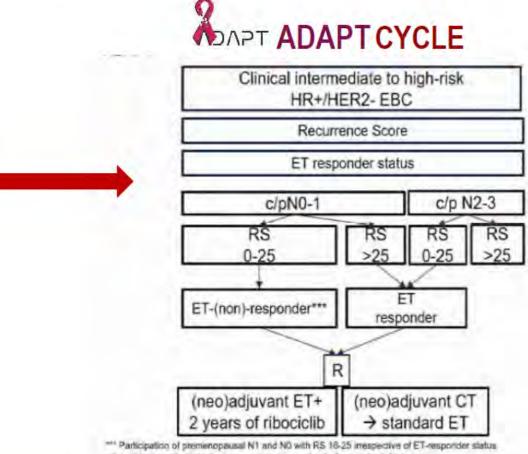


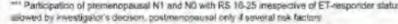
# Ribo: ADAPT-Cycle Trial





<sup>\*</sup> Direct randomization to CT w/out ET-response assessment possible









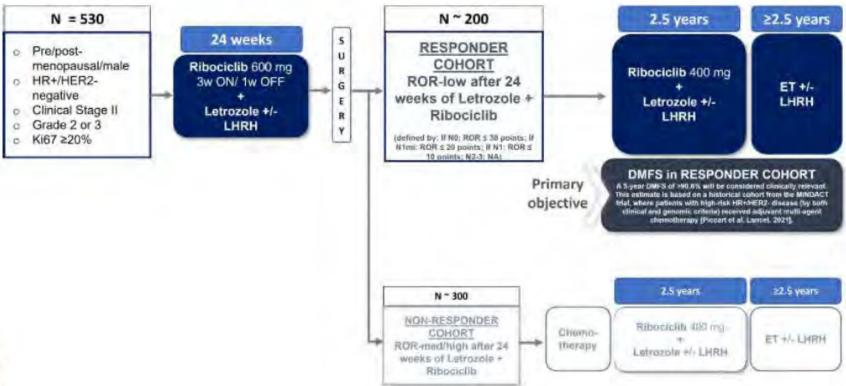
# Ribo: RIBOLARIS Trial

(Neo)adjuvant Ribociclib and ET (6mo) for High-Risk ER+/HER2- eBC

### MOLECULAR DOWNSTAGING TO AVOID ADJUVANT CHEMOTHERAPY (CORALEEN)

International, open-label phase III trial





RIBOLARIS SOLTI-1911 / BIG-21-02

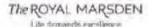


Primary objective: to evaluate if patients with initial high-risk clinical features and a ROR-low at surgery (RESPONDERS) after ribociclib and letrozole neoadjuvant treatment, can safely spare chemotherapy and maintain low risk of recurrence

# Palbo: TRAK-ER Trial

## MOLECULAR DOWNSTAGING TO CALIBRATE ADJUVANT TX (TRAK-TN)





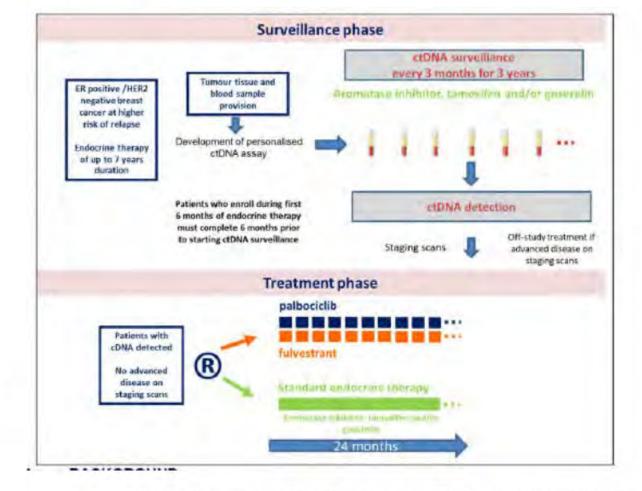




### TRAK-ER

A randomised trial of early detection of molecular relapse with circulating tumour DNA tracking and treatment with palbociclib plus fulvestrant versus standard endocrine therapy in patients with ER positive HER2 negative breast cancer

- A. Four or more involved axillary lymph nodes or positive supraclavicular lymph node at diagnosis, or
- B. Tumour size > 5 cm, regardless of lymph node status, or
- C. 1-3 involved axillary lymph nodes and at least one of the following; i) Tumour size > 3 cm,
- ii) histological grade 3 iii) high genomic risk defined as Oncotype Dx Recurrence Score >=26, Prosigna score >=60, EPclin risk score >=4.0, or Mammaprint high risk category, or
- D. At least a 15% predicted residual risk of death within 10 years using NHS PREDICT (see appendix A3 on calculating predicted residual risk of death with PREDICT)

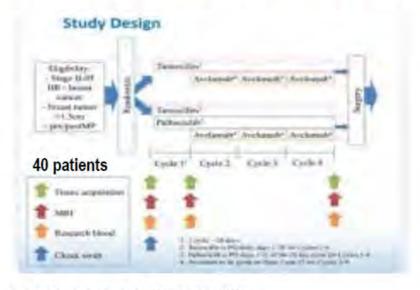




# Palbo: IMMUNOADAPT Trial

### IMMUNEMODULATION WITH ADDITION OF AVELUMAB TO PALBOCICLIB AND TAMOXIFEN

### **IMMUNOADAPT**

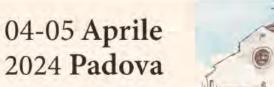


Primary objective: clinical complete response (cCR) rate by MRI Secondary objectives: TILs (H&E), CD8 and FOXP3 by immunohistochemistry (IHC), T cell receptor (TCR) repertoire (TCR) sequencing), multiplex gene expression panel (Nanostring), and multiplex IHC

Santa-Maria et al. Cancer Res 2019

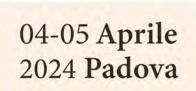
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- 3. (Neo)adj and dynamic biomarker (POETIC/ADAPT)
- 4. Neoadj and IO (CM7FL, KN756)



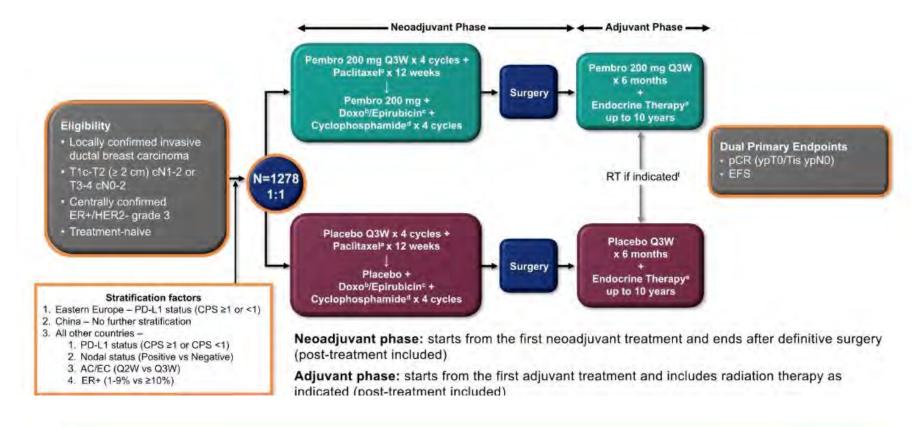




# 10 in high-risk Luminal eBC

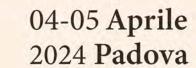
KN-756: IO in high risk HR+/HER2- eBC (neo)adj

KN-756 n=1278



Cardoso et. al. ESMO 2023

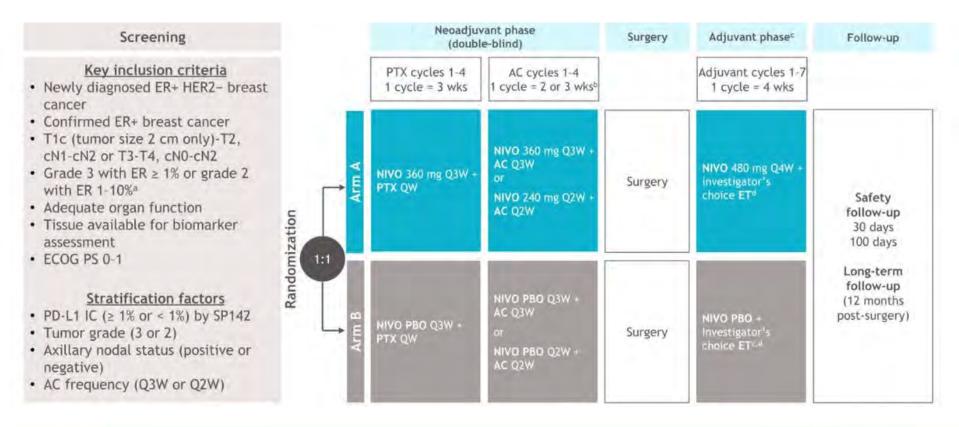




# 10 in high-risk Luminal eBC

CM-7FL: IO in high risk HR+/HER2- eBC (neo)adj

### **CM-7FL** n=510



Loi et. al. ESMO 2023

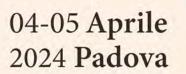


# IO in high-risk Luminal eBC (neo)adj

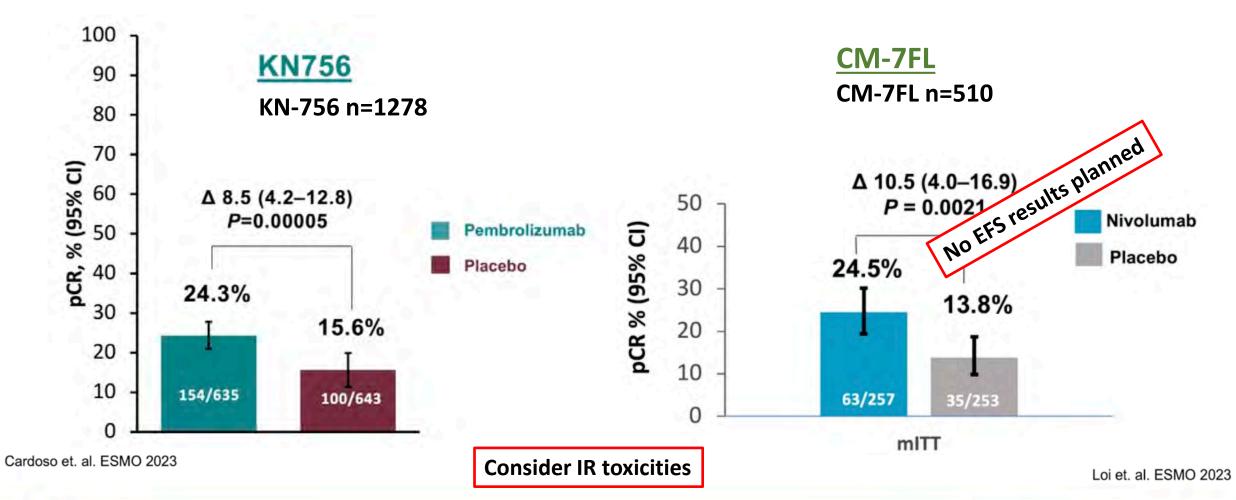
Trial element	Similarities	Differences
Trial design	<ul> <li>Both phase III, placebo-controlled RCTs that evaluated neoadj/adj PD1 inhibitors in combination with the same NACT regimen for high-risk HR+/HER2- EBC</li> </ul>	<ul> <li>Use of different PD1 inhibitors:</li> <li>KN756: Pembrolizumab</li> <li>7FL: Nivolumab</li> <li>Keynote-756 enrolled over twice as many pts</li> </ul>
Eligibility criteria	Overall similar: pts with high-risk HR+/HER2- EBC	<ul> <li>Slight differences in enrollment criteria:</li> <li>KN756: All grade 3, T1c-T2/ N1-2 or T3/T4</li> <li>7FL: Gr 2/3, T1c-T2/N0-2 or T3/T4 N0-2</li> </ul>
Stratification factors	Similar: nodal status, AC/EC q2w/3w, PD-L1 status	<ul> <li>Use of different PD-L1 assays:</li> <li>KN756: 22C3 CPS</li> <li>7FL: SP142 (and 28-8 CPS in biomarker analysis)</li> </ul>
1º endpoint(s)	Both powered to detect difference in pCR rates	KN756 also powered to detect difference in EFS

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# Results: activity (pcr)





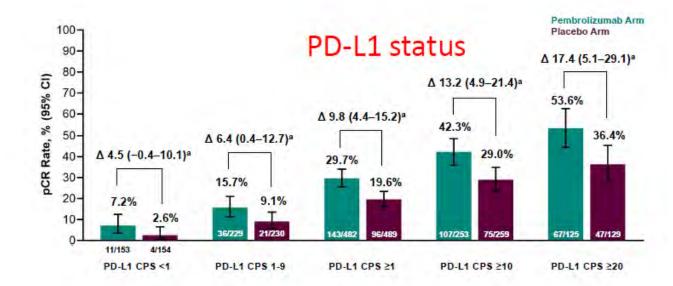


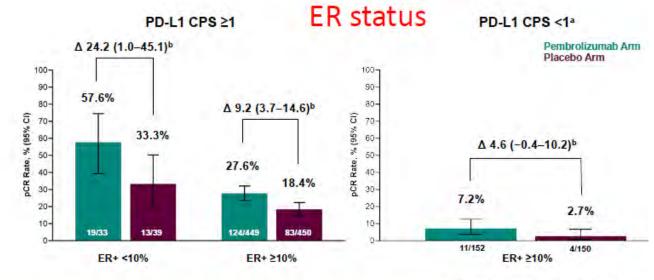
# KN-756

## Key subgroup and biomarkers analysis

Clinical charact.	Impact of pembro on pCR rate
Stage II (n-807) III (n=471)	<ul> <li>Benefit regardless of stage - stage II (+Δ 9.1) and III (+Δ 8.0)</li> </ul>
LN involvement pos (n=1152) neg (n=126)	<ul> <li>Benefit in LN pos (+Δ 9.3)</li> <li>Benefit less clear LN neg (+Δ3.8)</li> </ul>
Chemo exposure full (n=634) partial (n=641)	Benefit regardless of whether chemotherapy completed

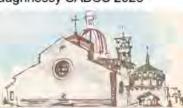
Biomarker	Impact of pembro on pCR rate
PD-L1 22C3 CPS	<ul> <li>Benefit if CPS ≥1. Higher pCR rates &amp; larger Δ with higher CPS</li> <li>Benefit less clear CPS &lt;1</li> </ul>
ER status Stratified by CPS score	<ul> <li><u>CPS ≥1</u>: Benefit for all ER%, with larger benefit if ER &lt;10%</li> <li><u>CPS &lt;1</u>: Benefit less clear ER ≥10%</li> </ul>





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# Conclusion

- MGA are prognostic and not predictive for CDK4/6i in eBC
- Not everything con be revealed by the first BC biopsy (at least for now)
- Dynamic biomarkers (RR, Ki67, MGA; ctDNA) may add crucial information for optimal adjuvant Tx
- Neoadj approaches are relevant in HR+ eBC and deserve dedicated studies as for HER2/TNBC





## Thank you



alberto.zambelli@hunimed.eu



